



**September 10-17, 2011
Newport, Rhode Island**

PRELIMINARY NOTICE OF RACE

The Organizing Authority (OA) is the New York Yacht Club (NYYC).

GENERAL FORMAT

The New York Yacht Club Invitational Cup is a fleet racing regatta held in Swan 42 Class yachts. The regatta is hosted by the New York Yacht Club in Newport, Rhode Island in alternate years and was first held in 2009. Specific eligibility requirements are described in paragraph 4.

1 RULES

- 1.1 The regatta will be governed by the rules as defined in *The Racing Rules of Sailing* (RRS).
- 1.2 The prescriptions of US SAILING to *The Racing Rules of Sailing* listed in Addendum A shall apply and are posted on the NYYC Invitational Cup website at nyyc.org/2011InvitationalCup/ as Notice of Race Addendum A.
- 1.3 The Swan 42 Class Association Rules shall apply except as specifically changed in this Notice of Race or in the sailing instructions. The Swan 42 Class Association Rules are posted on the NYYC Invitational Cup website at nyyc.org/2011InvitationalCup/ as Notice of Race Addendum B.
- 1.4 Swan 42 Class Association rules 4, 6 and 7 do not apply.
- 1.5 **Minimum Equipment:** The minimum equipment required to be on board shall include:
 - Those items posted as Notice of Race Addendum C, Required Equipment and Modifications, on the NYYC Invitational Cup website at nyyc.org/2011InvitationalCup/;
 - Safety equipment as described in 2010-2011 ISAF Offshore Special Regulations Category 5 posted on the NYYC Invitational website at nyyc.org/2011InvitationalCup/ as Notice of Race Addendum D. The US SAILING prescriptions to the Offshore Special Regulations shall not apply. This changes Swan 42 Class Association Rule 21.4;
 - Optional equipment that was in place for the one design measurement of the yacht (Swan 42 Class Rules 21.2 and 21.3);
 - Corrector weights (if any) as listed on the yacht's One Design Certificate;
 - Anchor, chain and rode, all ready for immediate use, with a combined minimum weight of 16 kg;
 - Other specific items that may be designated by the Organizing Authority.
- 1.6 ISAF Sailor Classification Code, Regulation 22 will apply. Information on how to apply can be found at www.sailing.org/classification. All crew members shall have a valid ISAF Sailor Classification as a Group 1 competitor except as noted in NOR 4.11.
- 1.7 On the water umpiring may be used and will be detailed in the sailing instructions.

- 1.8 The Jury may impose suitable penalties, other than disqualification, for violations of the Class Rules, Offshore Special Regulations or other non-Part 2 rules. This changes RRS 64.1.
- 1.9 The sailing instructions and charter agreement will contain language that permits the OA to revoke the charter of any team that is handling their yacht in an unsafe or dangerous manner.

2 YACHTS, SAILS AND WIND RESTRICTIONS

- 2.1 Swan 42 Class yachts will be used for competition.
- 2.2 All yachts shall be chartered from the Organizing Authority, including yachts that are provided by an Invited Yacht Club or other Sailing Organization. Yachts that are provided by an Invited Yacht Club or other Sailing Organization (Team) shall be sailed by that team. All other teams shall sail a yacht that is drawn from the charter pool prior to the event in 2011.
- 2.3 Sails will be provided by the Organizing Authority and will include a mainsail, jib and spinnaker. Other sails, provided by the OA may be included, but shall not be used unless advised by the Organizing Authority.
- 2.4 Upper wind limits will apply due to the charter nature of the regatta. The Race Committee will not start a race in sustained wind velocity greater than 22 knots and will abandon a race in sustained wind velocity greater than 25 knots.
- 2.5 All yachts shall be kept in the dockage or mooring assigned by the Organizing Authority beginning Saturday, September 10 through Sunday, September 18 unless specific exemption has been granted from the OA.
- 2.6 All yachts shall be standardized by the Organizing Authority to ensure as level a playing field as possible. Specific permitted and restricted actions will be included in the 'Handling of Boats' addendum to the Sailing Instructions. This includes, but is not limited to, the following provisions:
- a) Rigs will be tuned equally and preset by the OA before the regatta to match the provided sails. Rig tension shall not be adjusted by the competitors.
 - b) Mast jack tension shall be determined and preset by the OA and shall not be adjusted by the competitors.
 - c) Forestay length shall be preset by the OA and shall not be adjusted by the competitors.
 - d) The OA may adjust the rig tune, mast jack tension and/or forestay length of the entire fleet to match anticipated conditions.
 - e) Competitors wishing to change any rig tuning adjustments shall request the change be made by the OA in writing or by VHF. The OA has final authority in deciding whether an adjustment will be made.
 - f) The OA will provide bottom cleaning for all yachts. Competitors shall not clean, sand or fair the bottom or foils of their yacht, or so cause or arrange.
 - g) Yachts shall not be hauled from September 10-18 without specific permission from the OA and/or the Jury.
 - h) Minimum water and fuel tank levels will be promulgated by the OA. The OA will equalize the water and fuel tanks on all yachts prior to racing and may inspect the levels during the event.

3 ADVERTISING

- 3.1 ISAF Regulation 20, Advertising Code, will apply. Advertising on the supplied equipment is available to the OA in accordance with ISAF Regulation 20.4.1.3.
- 3.1.1 Competitors are permitted to place advertising of their own choice on each side of the hull, so as not to interfere with any event advertising, from amidships (50% LOA) aft with the total area of the graphics on each side to be contained within a contiguous area no larger than one square metre. Competitors shall provide their own graphics and shall remove them at the completion of the event. Competitors are requested to respect the brand exclusivity of the Official Sponsors of this event.
- 3.2 While racing, yachts shall display the backstay flag for each day of racing as provided by the Organizing Authority at registration [ISAF Regulation 20.4.1.1(c)].

4 ELIGIBILITY AND ENTRY

- 4.1 Entry to the regatta is by invitation from the Organizing Authority to a Yacht Club or other Sailing Organization (Team).
- 4.2 Acceptance of the invitation shall be made by submitting the attached Acceptance Form no later than June 1, 2010 together with the entry deposit of \$5,000.00.
- 4.2.1 Teams qualifying through the U.S. Qualifying Series in September 2010 shall submit the Acceptance Form no later than October 15, 2010 together with the entry deposit of \$5,000.
- 4.3 Invited Teams that provide their own Swan 42 Class yacht will be accepted for entry into the regatta. The Organizing Authority will award the remaining spots to other invited Yacht Clubs or Sailing Organizations accepting the invitation based upon the availability of charter yachts in the order in which they have submitted the Acceptance Form.
- 4.4 **Entry Form:** An Entry Form will be sent to those Yacht Clubs or Sailing Organizations selected as described in NOR 4.2.1 and 4.3. Entry shall be made by completing Part 1 of the Entry Form and sending it, together with the \$5,000 balance of the entry fee, to New York Yacht Club by December 1, 2010.
- 4.5 **Crew List:** Not later than the Crew Deadline of September 1, 2011, Part 2 of the Entry Form shall be submitted to the New York Yacht Club and will include information for each competitor on Club membership, nationality and ISAF Sailor Classification. Registration for the event will not be complete until all information has been received, checked and verified.
- 4.5.1 Crew lists will be posted on the event website and on the official notice board at the NYYC Sailing Center as soon as practicable after receipt.
- 4.5.2 A comprehensive sailing resume for the Skipper and Tactician shall be submitted either before or with the Crew List. The OA reserves the right to approve the Skipper and Tactician or declare either ineligible. The OA will endeavor to give its approval/denial within one week of submission.
- 4.6 **Team Composition:** Teams shall consist of up to eleven crew sailing at any time. This total includes the Owner's Representative as described in NOR 4.10 and 4.11 below.
- 4.6.1 The crew sailing on the yacht shall comply with the requirements of NOR 4.7, 4.8, 4.9 and 4.10 at all times unless specifically waived by the Jury.
- 4.6.2 Each team shall sail with the same number of crew members each day except with the permission of the Jury.
- 4.6.3 Crew may not be changed between races on a given day except with the permission of the Jury.
- 4.7 **Yacht Club Membership:** The helmsperson and tactician, and all but two members of the remaining crew, shall have been year-round full members of the Invited Yacht Club or Sailing Organization as of September 19, 2009. Up to two spouses of members and/or member's children under the age of 25 on the first day of racing are also eligible to count as members.
- 4.7.1 **Youth Sailor:** A minimum of one crew member shall be age 21 or younger on the first day of racing.
- 4.8 **Nationality:** All but two of the crew shall hold a passport for the country of the Invited Yacht Club's or Sailing Organization's primary location. In special circumstances, an exception may be granted upon application to the Organizing Authority. Passports of all crew shall be presented at registration.
- 4.9 **ISAF Classification:** ISAF Regulation 22, ISAF Sailor Classification Code, will apply. An ISAF representative may be present during the event and will carry out spot checks regarding sailor classification. Attention is drawn to ISAF Regulation 22.5.
- 4.9.1 All crew members shall have a valid ISAF Sailor Classification as a Group 1 competitor. No member of the crew may be classified Group 3 except as permitted under NOR 4.11. All unclassified sailors shall be deemed to be Group 3 and ineligible to sail.
- 4.9.2 The Classification Protest time limit is 1800 Sunday, September 11, 2011. At their discretion, this time limit may be extended by the Jury if the Crew List has been changed after the Crew Deadline on September 1, 2011.

4.10 **Weight limit:** Crew weigh-ins will be conducted at registration. The total crew weight shall be in accordance with Swan 42 Class Association Rule 8.1 (850 kg or 1870 lbs). In accordance with Swan 42 Class Association Rule 8.1.2, the owner or charterer may elect to weigh-in or shall be allocated a weight of 187 lbs (85 kg). For the Invitational Cup, only the helmsperson may elect to take this allocated weight allowance. There will not be random weigh-ins made during the regatta. Swan 42 Class Association Rules 8.3.3 and 8.3.4 shall not apply.

4.11 **Owner's Representative:**

- a) Chartered yachts that are drawn from the charter pool shall have onboard an owner's representative, nominated by the Owner and approved by the Organizing Authority, who may be used as a contributing member of the crew and is exempt from NOR 4.7, 4.8 and 4.9. The owner's representative will count toward the total crew weight as described in NOR 4.10. The owner's representative, if identified, may weigh-in on July 17, 2011. A team may elect to use that weight, have the owner's representative weigh-in with the team at registration or use an allocated maximum weight of 187 lbs (85 kg). The owner's representative shall be either an owner of the chartered yacht, the chartered yacht's regular captain, or a regular member of the chartered yacht's crew; or of similar experience and approved by both the OA and the owner. The regular Captain may have a Group 3 ISAF Sailor Classification
- b) Chartered yachts that have been provided by and will be sailed by a team are permitted to have an owner's representative onboard who may be used as a contributing member of the crew and is exempt from NOR 4.7, 4.8 and 4.9. The owner's representative will count toward the total crew weight as described in NOR 4.10 and shall weigh-in with the team at registration. There is no maximum weight allocation. If a team chooses to have an owner's representative, he/she shall be the chartered yacht's regular captain and fit the following parameters:
 - hired for regular maintenance, care, and delivery of the boat as the designated yacht captain.
 - the individual must have as his/her primary livelihood the maintenance and care of boats with specific duties assigned as part of this activity.
 - shall be employed on a full-time or part-time basis by the owner of the yacht upon which he/she races.
 - may have a Group 3 ISAF Sailor Classification
- c) The Organizing Authority retains the right to reject a nominated owner's representative.

4.12 **Crew substitution:** Prior to 1800 on September 11, 2011, a substitution to the submitted Crew List may only be made with the permission of the Organizing Authority. After that time, a substitution may only be made with permission of the Jury.

4.13 **Check-in:** All teams shall register during the registration times on Saturday, September 10 or Sunday, September 11, 2011. Registration will take place in the NYYC Sailing Center at Harbour Court in Newport, RI.

5 FEES

5.1 The entry fee is US \$10,000 and shall be paid in accordance with NOR 4.2 and 4.4. Wiring information will be provided upon request. The entry fee is waived for teams providing their own yacht that is shipped or sailed from outside North America or Bermuda.

5.2 A charter fee shall be established by the OA for yachts other than those provided by an Invited Yacht Club or other Sailing Organization.

5.3 The entry fee includes tickets to the Opening Reception and the Final Awards Banquet for up to eleven crew members. Additional tickets will be available for purchase.

5.4 There is a mandatory insurance fee for all yachts whether chartered or provided by a team, to cover hull and rig damage and liability against third party claims. The amount has not been finalized at the time of this document's publication, but will be approximately \$1,000.

5.5 In addition, all teams, including those providing their own yacht, shall provide a security deposit as determined by the insurance carrier (this was \$16,500 in 2009) per incident prior to the start of racing by providing credit card information at registration. The deposit is a per-incident maximum and will have to be replenished after each incident. The security deposit shall not be released prior to fourteen days after the conclusion of the event.

6 SCHEDULE OF EVENTS

Saturday, September 10	0900-1100	Registration
	1100	Charter boats available to teams
	1700-1800	Registration
Sunday, September 11	0900-1100	Registration
	1600-1800	Registration
	1800	Classification Protest Time limit
Monday, September 12	1300-1700	Practice Starts and Racing
	1800	Captains' Meeting
	1830-2000	Opening Reception
Tuesday, September 13	1100	First Warning Signal
Wednesday, September 14	1100	First Warning Signal
Thursday, September 15	1100	First Warning Signal
Friday, September 16	1100	First Warning Signal
Saturday, September 17	1100	First Warning Signal
	1900-2300	Final Awards Banquet

NOTE: The OA may opt to have a lay day in place of one of the racing days and this decision will be posted no later than 2000 on the day before it will take effect.

7 MEASUREMENT

- 7.1 A valid class certificate shall be on file with the NYYC Sailing Office before 1700 on Saturday, September 10. The OA will provide the certificates for yachts in the charter pool.
- 7.2 Yachts shall be available for inspection on Monday, September 12 and may be inspected at random during the regatta.
- 7.3 Yachts provided by the competitors shall be made available to the OA no later than 0800 on Friday, September 9, unless an exemption has been granted from the OA. This will permit the OA to standardize the boats in accordance with NOR 2.6 and the Handling of Boats addendum in the sailing instructions.

8 SAILING INSTRUCTIONS

The sailing instructions will be available no later than August 29, 2011 on the NYYC Invitational Cup website at nyyc.org/2011InvitationalCup/.

9 VENUE

Races will be held off Newport, RI on Rhode Island Sound or on Narragansett Bay.

10 COURSES

Courses will be defined in the sailing instructions. Possible race courses will include drop mark races and point-to-point races. Government marks may be used in the point-to-point races.

11 PENALTY SYSTEM

- 11.1 Rules 44.1 and 44.2 are changed so that, except for infringements of Part 2 that occur within the *zone* of a rounding mark or finishing mark, only one turn, including one tack and one gybe, is required.
- 11.2 Decisions of the Jury will be final as provided in rule 70.4.

12 SCORING

- 12.1 Multiple races are scheduled. One race is required to be completed to constitute a series.
- 12.2 A yacht's series score shall be the total of all of her race scores. No score will be excluded. This changes rule A2.

13 SUPPORT BOATS

Support boats shall not have contact with the racing yachts from the first course signal of the day until after the last yacht has finished the final race of the day.

14 BERTHING

Moorings will be arranged for the fleet by the Organizing Authority. Yachts shall not be hauled after the charter period begins without permission of the Jury.

15 PRIZES

15.1 Prizes will be awarded to the top three teams. The winning Yacht Club's or Sailing Organization's name will be engraved on the NYYC Invitational Cup which shall remain in the custody of New York Yacht Club.

15.2 Participation awards will be presented to each Yacht Club.

16 CAMERAS ONBOARD, MEDIA WAIVER AND DISCLAIMER OF LIABILITY

16.1 The Organizing Authority may place a TV camera person onboard one or more yachts during racing and may switch them between races or days. It is the intention that the camera person remains in a neutral position and does not interfere with the activities of the crew. The camera person shall not count in any of the limitations or requirements described in NOR 4.

16.2 The Organizing Authority may place static cameras onboard one or more yachts during racing and may switch them between races or days.

16.3 **Liability & Media Waivers:** All competitors shall sign and submit the Liability & Media Waiver available on the NYYC Invitational Cup website at nyyc.org/2011InvitationalCup/ and submitted at registration.

16.4 Competitors participate in this regatta entirely at their own risk. See RRS 4, Decision to Race. The Organizing Authority, Presenting Sponsor and affiliated companies, and other sponsors will not accept any liability for material damage or personal injury or death sustained in conjunction with or prior to, during, or after the regatta. Attention is also drawn to ISAF Offshore Special Regulation 1.02, Responsibility of the Person in Charge, as well as the "Waiver and Release of Liability" as stated on the entry form.

17 INSURANCE

All teams shall meet the insurance requirements in NOR 5.4 and 5.5.

18 FURTHER INFORMATION

For further information, please visit our website at nyyc.org/2011InvitationalCup/ or contact the Event Chairman or the New York Yacht Club Sailing Office.

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